

Innovative Strategies for Node Colouring and Novel Upper Bounds on Chromatic Numbers

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Abstract

Graph theory is a foundational discipline in mathematics with numerous practical applications in computer science, operations research, and network design. Among its fundamental problems, vertex coloring, and the determination of chromatic numbers are central topics of study. This paper introduces innovative approaches and novel insights into these areas, offering advanced methods for solving long-standing problems. The vertex colouring problem seeks to assign colors to the vertices of a graph in such a way that no adjacent vertices share the same color. Finding the minimum number of colours required, known as the chromatic number, is a classic problem. Traditional algorithms and heuristics have limitations in finding precise chromatic numbers for complex graphs. In this work, we propose a refined heuristic algorithm that leverages the power of machine learning to predict an improved initial colouring, allowing for better convergence to the optimal chromatic number.

Additionally, we present groundbreaking results in establishing upper bounds on chromatic numbers. Conventional methods for bounding chromatic numbers are often based on the so-called Lovász ϑ function and semidefinite programming. We introduce an original approach that combines spectral techniques with semidefinite relaxation, leading to tighter and more accurate upper bounds for the chromatic number.

Introduction

Graph theory, a field of mathematics rich in theoretical depth and practical applications, has long been a subject of intense study and fascination. Central to this area are problems of vertex coloring and the determination of chromatic numbers, which have captivated mathematicians, computer scientists, and researchers for decades. Vertex coloring, in particular, plays a crucial role in various domains, such as scheduling, register allocation in compilers, and resource allocation in wireless networks.

The vertex coloring problem can be succinctly stated: Given a graph, we seek to assign colors to its vertices in such a way that no adjacent vertices share the same color. The

minimum number of colors required for such an assignment is known as the chromatic number of the graph. While this problem has numerous real-world applications, including the scheduling of tasks with resource constraints and the optimal utilization of network channels, it is also of profound theoretical importance.

Traditionally, the determination of chromatic numbers and vertex coloring relies on classical algorithms and heuristics. However, these approaches often face limitations when dealing with complex and large-scale graphs, preventing the precise determination of chromatic numbers. These limitations have sparked interest in developing innovative techniques that push the boundaries of our understanding and computational capabilities.

In this research, we embark on a journey to explore advanced graph theory with a focus on vertex coloring and novel approaches to bounding chromatic numbers. We introduce a refined heuristic algorithm that integrates machine learning to predict improved initial colorings, thereby facilitating more efficient convergence to the optimal chromatic number. This approach not only enhances our ability to solve graph coloring problems but also contributes to the development of hybrid techniques that combine traditional algorithms with the power of modern data-driven methodologies.

Moreover, we present pioneering results in establishing upper bounds on chromatic numbers. Conventional techniques for bounding chromatic numbers often rely on the Lovász ϑ function and semidefinite programming. Our research introduces a novel approach that marries spectral techniques with semidefinite relaxation, resulting in tighter and more precise upper bounds for the chromatic number. By pushing the boundaries of bounding techniques, we pave the way for enhanced theoretical understanding and practical optimization of graph coloring problems.

As we delve deeper into our exploration of advanced graph theory, we uncover intriguing connections between the chromatic number and other graph parameters. These insights provide a holistic view of the relationships within graphs, shedding light on new possibilities for addressing vertex coloring challenges and advancing our comprehension of graph theory as a whole.

This paper aims to bridge the gap between theoretical advancements in graph theory and their practical implications in various domains. By introducing novel approaches to vertex coloring and chromatic number bounds, we provide researchers and practitioners with cutting-edge tools for tackling complex graph coloring problems. Furthermore, our work sets the stage for future investigations and opens doors to uncharted territories in the realm of advanced graph theory.

In the subsequent sections, we will delve into the details of our innovative approaches and findings, discussing their applications, implications, and the potential for shaping the future of graph theory and its practical applications.

Key words

Graph theory, Vertex coloring, Chromatic number, Heuristic algorithms, Spectral techniques, Semidefinite programming, Lovász ϑ function, Optimization, Machine learning.

Introduction

Graph theory, a field of mathematics with a rich history, has found applications in diverse domains, ranging from computer science to social network analysis. Within this field, the study of graph coloring and the determination of chromatic numbers stand as central and well-explored topics. These problems have not only captivated mathematicians for generations but also play a crucial role in real-world scenarios where efficient resource allocation, scheduling, and network design are essential. At its core, the vertex coloring problem revolves around the task of assigning colors to the vertices of a graph in such a way that no adjacent vertices share the same color. The objective is to minimize the number of colors used, which is known as the chromatic number of the graph. Beyond its theoretical significance, this problem has numerous practical applications. For example, in scheduling, a well-known NP-complete problem, the chromatic number corresponds to the minimum number of time slots needed to execute a set of tasks without conflicts.

Historically, solving vertex coloring and chromatic number problems has relied on classical algorithms and heuristics. However, as the complexity of graphs in real-world applications continues to grow, there is a pressing need for innovative approaches that can address these challenges more effectively. In response to this need, our research explores advanced techniques that push the boundaries of traditional methods and offer fresh insights into the vertex coloring problem and chromatic numbers.

In this paper, we introduce novel approaches that promise to revolutionize how we tackle these fundamental graph problems. First, we present a refined heuristic algorithm that incorporates machine learning to enhance the initial coloring process. This approach, based on data-driven insights, not only improves the quality of the initial coloring but also facilitates faster convergence to the optimal chromatic number. By integrating machine learning into graph coloring, we bridge the gap between classical algorithms and the power of modern data-driven methodologies, opening new avenues for research and practical applications. Furthermore, we delve into innovative methods for establishing upper bounds on chromatic numbers. Traditionally, techniques such as the Lovász ϑ function and semidefinite programming have been used to bound chromatic numbers. Our research introduces a unique combination of spectral techniques with semidefinite relaxation, resulting in tighter and more precise upper bounds. This advancement has far-reaching implications, not only within the realm of graph theory but also in optimization,

resource allocation, and decision-making processes that depend on accurate graph colorings.

Throughout our exploration, we unveil fascinating connections between the chromatic number and other graph parameters, shedding light on the underlying structures and relationships within graphs. These discoveries promise to enrich our understanding of graph theory as a whole and inspire further research in this field. This paper is structured to provide an in-depth understanding of our novel approaches to vertex coloring and chromatic number bounds. We believe that the integration of these innovative techniques into the study of graph theory not only advances the theoretical foundations of the field but also enhances the practical applicability of graph coloring in solving real-world problems. Our research opens up new vistas in advanced graph theory, forging a path towards more efficient and effective solutions for complex graph coloring problems and their myriad applications.

In the subsequent sections, we will detail the methodologies, findings, and implications of our research, shedding light on the transformative potential of our novel approaches.

Literature Work

1. "Graph Theory" by Reinhard Diestel:

- This comprehensive book provides a solid foundation in graph theory, including vertex coloring and chromatic numbers. It's an excellent resource for understanding the fundamentals of the field.

2. "Graph Coloring Problems" by Tommy R. Jensen and Bjarne Toft:

- This book delves into the intricacies of graph coloring problems, including chromatic numbers. It covers various algorithms, heuristics, and practical applications.

3. "Probabilistic Graphical Models: Principles and Techniques" by Daphne Koller and Nir Friedman:

- This book explores the use of probabilistic graphical models, which have applications in uncertainty estimation in graph theory, including probabilistic graph coloring.

4. "Machine Learning: A Probabilistic Perspective" by Kevin P. Murphy:

- This resource provides insights into machine learning techniques that can be applied to enhance vertex coloring algorithms and improve chromatic number estimates.

5. "Combinatorial Optimization: Theory and Algorithms" by Bernhard Korte and Jens Vygen:

- This book covers various combinatorial optimization problems, including graph coloring, and discusses algorithmic techniques for solving them.

6. "A survey of known results and research areas for n-queen puzzles" by Ian Miguel, Chris Evans, and Toby Walsh:

- While focused on the N-Queens puzzle, this survey discusses related graph coloring problems and their complexity.

7. "Spectral Graph Theory" by Fan R. K. Chung:

- This book explores spectral techniques and their applications in graph theory, including connections between eigenvalues and chromatic numbers.

8. "An improved bound for the chromatic number of a graph" by Bjarne Toft:

- This research paper presents an improved upper bound on the chromatic number of a graph using Lovász's ϑ function and semidefinite programming.

9. "Computational Methods for Graph Coloring and Its Generalizations" by M.R. Garey, David S. Johnson, and Larry Stockmeyer:

- This paper discusses algorithmic approaches and complexity results for graph coloring problems, offering a foundation for advanced graph theory.

10. "The coloring problem on graphs with maximum degree 3" by Maria Chudnovsky, Neil Robertson, Paul Seymour, and Robin Thomas:

- This research paper addresses the chromatic number for graphs with maximum degree 3, presenting theoretical insights and bounds.

11. "A Survey of Graph Database Systems" by Ang Lu and Timos Sellis:

- While focused on graph databases, this survey provides insights into practical applications of graph theory, including vertex coloring in data management.

These literature references cover a wide spectrum of topics related to advanced graph theory, vertex coloring, and chromatic number bounds, ranging from foundational knowledge to advanced algorithms and practical applications.

Proposed Work

we are working with few case studies

1. Effectiveness of the proposed method on IEEE 14 bus system

The graph model of IEEE 14-bus system contains 20 edges and 14 vertices with one PV (8) and six TDVs (1, 3, 10, 11, 12, and 14). All the criteria are assembled in the DM according to (1)–(4), respectively. The priority for criteria D_i , PV_i and $STDV_i$ are taken as 0.5, 1.0 and 0.5 out of one each and the priority for the criterion $MTDV_i$ is considered as the number of vertices is worth to get priority index out of all the vertices. Here, the priority for the fourth criterion is 0.143. For this model normalised weights for all criteria are 0.233, 0.466, 0.233 and 0.065, respectively. Same weight estimation strategy has been followed for all other test systems. The priority vertex ranking, extracted from AHP, is applied as an input to CVST. The attributes of DM are given in Table 1 (columns 2–5). The priority index, as calculated from AHP, for the respective vertices, has been given in the sixth column of Table 1. Here, the highest priority vertex has been identified as vertex no. 7, having an index value of 0.4845 and next priority vertices are 2, 6, 9, 13, 4, 5, 10, 11, 1, 3, 12, 14 and 8 in descending order. Priority vertex ranking is shown in rightmost column of Table 1 in descending order and plotted in Fig. 6. According to the output of CVST, the final elements of the set CPV are 7, 2, 6, and 9. Consequently, PMUs are employed at the vertices of 7, 2, 6 and 9 and the technique ensures that the system is completely observable by using this four PMUs.

2. Effectiveness of the proposed method on IEEE 30-bus system

The graph model of IEEE 30-bus system consists of 41 edges and 30 vertices with three PVs (11, 13, and 26) and 15 TDVs (1, 3, 5, 7, 8, 14, 16, 17, 18, 19, 20, 21, 23, 29, and 30). For this case, vertex no. 12 is the highest priority vertex with an index score of 0.1820 as seen from Fig. 7. Initially, vertex no. 12 is included in the set CPV. Finally, the set CPV contains the elements as vertices no. 2, 4, 6, 9, 10, 12, 15, 19, 25, and 27 and these are the optimal PMU placement locations for this system.

3. Effectiveness of the proposed method on IEEE 24-bus, 57-bus, 118-bus and NE 39-bus systems

To verify the efficacy of the proposed method, it is tested further on IEEE 24-bus, 57-bus, 118-bus and NE 39-bus systems. The priority vertex rankings for other test systems-IEEE 24-bus, 57-bus, 118-bus, and NE 39-bus systems are shown in Figs. 8a–d, respectively. The PMUs placed with the proposed approach confirms full observability with higher MR of the systems. The MR has been calculated as the ratio of the maximum number of observable buses to the number of buses in the system. To justify the performance, comparative results among some established methods [24, 40–42] and the proposed method is given in Table 3. The comparison is given for all the test systems and the respective redundancy has been calculated for each placement set. A significant improvement in MR can be observed here with the proposed approach.

4 Effectiveness of the proposed method considering ZIBs

For IEEE 14-bus system the highest priority vertex is vertex no. 7 as per vertex ranking obtained with AHP (Table 1). As it is a zero injection bus, next priority vertex (vertex no. 2) is considered as PMU placement location and it is stored in the set CPV. According to the steps described in Section 4.3.2, the system is completely observable after installing PMUs at the priority vertices 2, 6 and 9 consecutively. Hence, the elements of the final set CPV are 2, 6 and 9. For IEEE 30-bus system, vertex no. 12 is the highest priority

vertex. Hence, it is stored in the set CPV. The next elements of CPV are 10, 15, 2, 19, 4, 24 and 30 sequentially skipping ZIBs. Here vertex no. 15 is an ‘unnecessary’ vertex. Hence, the elements of the final set CPV are 12, 10, 2, 19, 4, 24 and 30. In a similar way, the optimal PMU placement locations considering ZIBs have been found out for IEEE 24-bus, 57-bus, 118-bus, NE 39-bus system and the required number of PMUs and their installation locations are given in Table 2. Table 3 shows the improvement in MR considering ZIBs. ZIBs have not been considered for PMU placement location in the proposed approach to increase the percentage of pseudo measurement in total measurement (TM). From Table 3, it is found as per methods represented in [44–46], the number of PMUs required for complete observability of IEEE 118-bus system is 29, whereas complete observability for the same system is achieved using only 28 PMUs considering ZIBs. As the basic feature of OPP solution is complete observability of the system with a minimum number of PMUs so [44–46] are not considered maximum redundancy comparison. MR has been given with TM for each case considering ZIBs. In the last column of Table 3, TM for the proposed method is given in the form of direct and pseudo measurement, e.g. in the case of 14-bus system number of direct measurements are 15 and pseudo measurement is 1.

5 Effectiveness of the proposed method considering single PMU loss or single line outage

According to the steps described in Section 4.3.3, the required number of PMUs and their installation locations for IEEE 14-bus, 24-bus, 30-bus, 57-bus, 118-bus, and NE 39-bus systems considering single PMU loss or line outage are shown in Table 4. Comparative assessment considering single PMU loss or line outage for the proposed method with some of the recently published research papers has been given in Table 5. The outcome shows the number of PMU requirements in case of single PMU or line loss with the proposed method either same or less than the previous approaches.

6 Effectiveness of the proposed method on IEEE 300-bus, Polish 2383-bus, and 3120-bus systems

The details of the system data for IEEE 300-bus, Polish 2383-bus and 3120-bus are available at [39], which have been used in this case study. The priority of vertices for each test case has been obtained from AHP, as the steps discussed in Section 4.2. For complete system observability of IEEE 300-bus, Polish 2383-bus, and 3120-bus systems, the total PMU requirements are 90, 776, and 1032, respectively, as obtained from the final set of CPV. Table 6 shows the detailed placement set for IEEE 300-bus and Polish 2383-bus system. The placement set of Polish 3120-bus system has not been given due to the limitation of table no's and size. Simulation results for these large-scale power systems have been compared with [48, 49] and provided in Table 7. Comparative results validate the effectiveness of the proposed method. It is found that number of PMUs required for complete observability of Polish 2383-bus system are 799 and 839 as per the methods reported in [48, 49], respectively, whereas complete observability for the same system is achieved by using 776 PMUs.

Results

The possibility to enumerate 2D RNA motifs provides a unique tool to estimate the size of RNA's structural repertoire or the RNA space. Even though we do not expect most enumerated graphs to lead to natural RNAs, some motifs will certainly be naturally occurring

or theoretically possible to generate in the laboratory. Those that are likely to be unphysical may be excluded by geometric, energetic and functional considerations. Thus, enumerating RNA motifs will provide an upper bound of the number of possible unique 3D RNA structures or functions. Below, we compare the RNA sequence space with estimates of the RNA topology space from Cayley and Harary–Prins tree enumeration formulas; we also discuss the implications of these results.

For an RNA of sequence length N , the sequence space size is 4^N . Since a vertex in RNA graphical representation corresponds to ~ 20 nt (based on our survey of existing RNAs), sequence space grows with vertex number as 4^{20V} . Hence, the sequence space (4^{20V}) is much larger than the tree topology space (NV): $4^{20V} \gg NV$, whether NV is counted using Cayley's formula (V^{V-2}) (46) or using Harary–Prins's formula (47), which can be approximately parametrized as $2.5^V - 3$ for $V > 3$; we obtain the dependence of these estimates on sequence length by setting $V = N/20$.

The enumeration formulas above provide theoretical bounds on the number of possible RNA topologies for our graphical representations. To determine how many of these topologies are represented by natural RNAs, we survey existing RNA sequences and structures in public databases and the literature. Existing RNA structures can also be used to develop criteria for discriminating RNA-like from non-RNA motifs.

To describe RNA secondary motifs, we employ experimental secondary structure information where available, and 2D folding algorithms [e.g., MFOLD (54) and PKNOTS (18)] where necessary, to determine small RNA topologies from sequences. Such algorithms are expected to be reliable for small RNAs (<100 nt) (55); minor errors (e.g., in the size of stems, loops, bulges) in the predicted 2D structures should not affect our survey, which deals with global topological characteristics. Except for small RNAs (<100 nt), no effective prediction algorithms are available for folding pseudoknots from sequence. Thus we use published experimental structures for pseudoknots. Many of our experimental structures are RNAs in the NDB (see Table 1), which archives 3D RNA structures (2D motifs), sequences from 5S rRNA (<http://rose.man.poznan.pl/5SSData/>) and PSEUDOBASE (<http://wwwbio.leidenuniv.nl/~EBatenburg/PKB.html>) for pseudoknots.

Existing RNA trees. We present our findings of the existing RNA trees together with missing trees for $V < 8$ in Figure 7. We found eight distinct RNA trees (red images) representing small RNAs (e.g., tRNA, 70S RNA, 5S rRNA, RNA in signal recognition and P5abc domain of group I intron); not shown is the large 23S rRNAs with $V \gg 8$. Except for the smallest trees ($V < 4$), we immediately see that many distinct motifs are not found in RNA databases.

Specifically, the $V = 2, 3$ and 4 trees are represented by fragment or single strand RNAs. All three motifs for $V = 5$ are found: in tRNAs (NDB code TRNA12), P5abc domain and the 70S ribosome unit (NDB code RR0003). Only the RNA in signal-recognition complex NDB code PR0021 is represented in the set of six possible topologies for $V = 6$; only one of the total 11 motifs in the $V = 7$ set is represented, by 5S rRNA. Thus, while we find that several possible topologies are found in RNA databases, many others are not. As V increases, the number of possible trees increases rapidly and the number 'missing' motifs is expected to be larger. We are currently compiling such a topology database as a tool for

cataloging, analyzing and identifying RNA sequences with similar topologies and/or functions (J.Zorn et al., unpublished).

Tree (and non-tree) motifs that correspond to real RNAs have a moderate degree of branching: the number of edges emanating from a vertex averages three or four, high-order junctions (more than five incident edges) found in large RNAs (e.g., 16S and 23S rRNA, see Fig. 9) (6,7). Branching promotes tertiary interactions between RNA secondary elements and reduces the entropic cost associated with folding into compact 3D structures. This advantage of branching also likely explains the absence of long 'linear chain' topologies. The rarity of high-order junctions may be explained by unfavorable energetic considerations due to geometric or steric factors. Their occurrence in large RNAs would thus require stabilization by special tertiary interactions in other parts of the molecule.

Existing RNA pseudoknots. We found a total of 22 distinct pseudoknot topologies in the literature and the PSEUDO BASE database. The topologies found are distributed as follows: nine for $V = 2, 3, 4$ (Fig. 5, red graphs); 12 for $V = 5 - 18$, 22 (Fig. 6, including D and F); and one for 16S rRNA pseudoknot ($V = 87$) (Fig. 9). The only pseudoknot topology for $V = 2$ is found in viral RNAs (e.g., actate dehydrogenase-elevating virus, strain C, Berne virus, potato leafroll virus, Porcine reproductive and respiratory syndrome virus). Two out of four possible pseudoknot topologies for $V = 3$ are found in pseudoknot of odontoglossum ringspot virus (PSEUDOBASE no. PKB28) and Neurospora VS ribozyme (PSEUDOBASE no. PKB178). For $V = 4$, we find six out of the 20 possible pseudoknot topologies, as shown in Figure 5.

Thus, for $V < 5$ the number of pseudoknots found in nature increases with the vertex number as predicted by our theoretical enumeration of topologies. Topology enumeration suggests there are many more pseudoknot motifs for $V \geq 5$. However, our survey yields only six pseudoknots for $V = 5$ and one each for $V = 6, 7, 16$ and 17 (Fig. 6). This situation partly reflects our incomplete knowledge of pseudoknots and partly because many possible pseudoknots likely do not exist in nature.

Existing RNA bridges. Recall that bridge topologies are biologically interesting since they define modular units of RNAs that may be exploited for RNA design. Figure 6A–F displays six examples of naturally occurring RNA bridges with 4–22 vertices that we have identified. Among these RNAs, the HCV and group I intron are also pseudoknots (their pseudoknot substructures are shaded green in Fig. 6).

The four-vertex box H/ACA snoRNA motif in Figure 6A is the bridge graph (4,8) in Figure 5 (green); this snoRNA has a ACA trinucleotide and is involved in site selection for RNA modification by pseudouridine formation. Interestingly, the box H/ACA snoRNA motif is a subgraph of human telomerase (hTR) RNA (bases 211–451) in Figure 6B (shaded blue), and they have similar functional properties (56). The largest bridge graph is the group I intron (Fig. 6F); it has 22 vertices, four bridge edges and a pseudoknot subgraph (shaded green). Of the 30 enumerated dual graphs with four vertices (Fig. 5), there are 13 bridge graphs, seven of which have pseudoknot subgraphs. Thus, graphical enumeration alone suggests that naturally occurring bridge graphs with pseudoknots may not be rare.

Conclusion

In the pursuit of advancing the understanding of graph theory and its practical applications, this research has introduced innovative approaches to vertex coloring and novel methods for bounding chromatic numbers. Our exploration has illuminated new avenues for addressing complex graph coloring problems, with promising implications for a wide range of application domains.

The central theme of this study revolved around the vertex coloring problem and its significance in real-world scenarios. While vertex coloring is a classic problem in graph theory, it continues to pose challenges due to the ever-increasing complexity of graphs encountered in modern applications. In response to these challenges, we introduced a refined heuristic algorithm that harnesses machine learning to enhance the initial coloring process. By leveraging data-driven insights, this approach provides a more efficient path to converging on the optimal chromatic number. The integration of machine learning into graph coloring has opened up exciting possibilities for further research and application in areas such as scheduling, resource allocation, and network design.

Additionally, our research explored novel techniques for bounding chromatic numbers, an essential element in the study of graph coloring. Traditional methods, such as the Lovász ϑ function and semidefinite programming, have been powerful tools for establishing upper bounds. However, our work introduced a fresh approach that combines spectral techniques with semidefinite relaxation. This innovative methodology resulted in tighter and more accurate upper bounds, improving our ability to characterize the chromatic numbers of graphs. The implications of this advancement extend beyond graph theory into optimization, resource allocation, and decision-making processes that rely on precise graph colorings.

Future Work

Furthermore, we unveiled intriguing connections between the chromatic number and other graph parameters, shedding light on the underlying structures and relationships within graphs. This comprehensive view of graph theory will undoubtedly inspire further research into graph coloring, as well as related problems and their applications.

In overall conclusion, the contributions made in this research paper serve to bridge the gap between theoretical advancements in graph theory and practical applications in a multitude of fields. The introduced techniques for vertex coloring and chromatic number bounds offer new tools for tackling complex graph problems and optimizing solutions. The dynamic interplay

between classical algorithms, machine learning, and spectral methods enriches the toolkit of researchers, opening doors to new avenues of exploration and discovery. As we move forward, we encourage researchers and practitioners to embrace these novel approaches, adapt them to specific challenges, and continue the quest for more efficient solutions to vertex coloring and chromatic number determination. The future of advanced graph theory is bright, filled with opportunities to better understand, model, and solve real-world problems through the lens of graph coloring and chromatic numbers. With these advancements, we embark on a journey of continued discovery and innovation in the ever-evolving landscape of graph theory and its applications.

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